- 1 **Title:** The use of digital technologies in adherence to anti-tuberculosis treatment.
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- 36 37
- 38 **Running head:** Digital adherence technology in tuberculosis

- 40 Summary: Tuberculosis kills over 1.5 million people per year, particularly in low- and middle-
- 41 income countries. Despite recent advances, regimens are at least several months in length,
- 42 which can be problematic for persons with tuberculosis. In the last decade, digital adherence
- 43 technologies (DATs) have been used both to monitor and promote dose-taking. As
- 44 interventions, DATs can be reminders for dose-taking and generate digital dosing histories to
- 45 help triage patients. The evidence for DATs improving treatment outcomes as a result of
- improving adherence is mixed. Emerging evidence suggests that persons with tuberculosis 46
- 47 value DAT functions that foster a feeling of being 'cared for' by the health system. DATs should
- 48 be embedded within, rather than used as the sole replacement for, comprehensive care
- 49 packages. As monitors of dose-taking, DATs provide rich dose-by-dose datasets for use in
- 50 research and allow for greater empowerment of persons with tuberculosis than the directly
- observed therapy (DOT) used previously. They may, however, not be a perfect proxy for 51

- adherence.

Take-home message: Digital adherence technologies (DATs) have been used extensively as

monitors of, and to promote, treatment-taking in tuberculosis. Increasingly, DATs are considered part of overall care packages that also foster communication with healthcare providers.

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### 59 Introduction

60 Tuberculosis (TB) is a bacterial disease predominantly caused in humans by Mycobacterium tuberculosis (M. tb) [1]. In 2021, an estimated 10.6 million people fell ill with TB and 1.6 million 61 62 died of it [2]. M. tb is mainly associated with pulmonary illness. Regimens to treat TB last many 63 months, with difficulties shortening treatment likely due to the presence of persistent or 64 heteroresistant bacterial populations [3, 4]. Currently, the World Health Organization (WHO) 65 recommends four- or six-month regimens containing multiple drugs for drug sensitive TB [5] 66 (unless it is of the central nervous system, bones, or joints) and six to nine months or longer for 67 drug resistant disease [6]. 68 69 It is well-known that persons with TB can struggle with taking every single dose of such lengthy 70 treatment regimens, including due to side effects [7]. Non-adherence (defined as when doses of 71 treatment are missed without the mutual agreement of the patient and healthcare provider 72 (HCP)) [8] results from highly multifaceted causes, including social, health systems, and 73 structural factors, which interrelate with the broader demands of being on treatment [9]. This 74 means that individual capacity and motivation to take treatment dynamically fluctuates across 75 the treatment course.

76

77 Concerns about non-adherence led to the WHO recommending directly observed therapy 78 (DOT) for TB in the 1990s [10]. DOT requires the observation of persons with TB taking each 79 dose of their medication by a HCP, community supporter, or family member, and often 80 necessitates travel for observation. DOT is a one-size-fits-all strategy that is applied to all 81 persons with TB regardless of how well they have or will adhere. It not only places a high 82 demand on an individual's time and resources- as well as undermines their autonomy. 83 confidentiality and trust [11-13]- but also a huge burden on health care systems that are often 84 under-resourced. In recent years, digital adherence technologies (DATs) have emerged as an 85 alternative to DOT [14] (Table 1). DATs include short message service (SMS)-based reminders 86 [16, 17]; video supported treatment (VST) [18-21]; digital pillboxes [16, 22, 23]; and ingestible 87 sensor-based systems [24]. From a healthcare perspective, DATs provide a less invasive and 88 demanding approach than DOT, while offering opportunities to individualise adherence 89 management strategies based on real-time adherence data. In 2017, the WHO made 90 conditional recommendations in their drug sensitive TB treatment guidelines on the use of DATs 91 [25], as well as producing its first handbook on the use of DATs [25]. 92

METHOD	DESCRIPTION	STRENGTHS	WEAKNESSES*
Direct monitoring			
Ingestible pill sensors	Ingestible sensor embedded in TB medications. Pill interacts with gastric acid, and a signal is transmitted to adhesive monitor on person with TB, which in turn transmits information to smartphone.	No reliance on sample collection.	Cost; relies on person with TB wearing the adhesive monitor; individual acceptability
Indirect monitoring	g: device-facilitated		•
Digital pillbox / bottle cap	Medications placed in pill box/bottle. Opening/closing of box/bottle- a proxy for dose- taken- is documented (in real- time) via SIM card. Older studies have not used real-time monitoring but downloaded the data at regular intervals, such as at monthly pharmacy refills. Some interventions combine DAT with differentiated care when non- engagement has been observed.	Monitors adherence in real-time (if pill box/bottle cap transmits); low cost (relative to HCP DOT).	Pill box opening/bottle cap removal may not reflect an ingestion of dose; non-opening may not reflect non- ingestion of dose if medications are not stored in box/bottle or if 'pocket doses' are taken out earlier than required.
Indirect monitoring	g: person with TB-facilitated		
SMS messages	Person with TB sends SMS message to HCP when a dose has been ingested. Some interventions combine DAT with differentiated care when non- engagement has been observed.	Monitors adherence in real-time; low cost; reminders can also be sent via SMS.	Person with TB needs to be familiar with SM messaging and have access to a phone and signal at the right times of day; SMS message sent may no reflect an ingestion of dose; non-receipt of SMS may not reflect non-ingestion of dose
Other feature phone-based technologies, including medication sleeves (e.g. 99DOTS)	Verification of dose ingestion through SMS or phone calls using a variety of approaches, as well as other uses of feature-phone based technologies such as the systematised use of real-time voice calls. With medication sleeves, a paper sleeve is placed over a medication blister pack. A hidden phone number is revealed when the person with TB dispenses a dose, which the person calls to indicate a dose taken.	Monitors adherence in real-time; low cost.	SMS or phone call may not reflect an ingestion of dose; non receipt of phone call may not reflect non- ingestion of dose.
Live VST (synchronous)	Ingestion of each medication dose is videoed by person with TB and observed by a HCP in real time. HCP observation could be replaced by artificial intelligence	Monitors adherence in real-time; convenience for person with TB; live interaction allows	Cost (HCP review of live video, smartphone); person with TB and HCP acceptability; non-

## **Table 1. Digital adherence technologies for tuberculosis**

	applications.	HCPs to assess for medication side effects.	engagement with video call may not reflect dose non- ingestion; HCP and person with TB required to be online at the same time.
Recorded VST (asynchronous)	Ingestion of each medication dose is videoed by person with TB and sent to HCP to be viewed later.	Lower cost (than live VST); convenience for person with TB; convenience for HCPs.	Cost (HCP review, smartphone); person with TB and HCP acceptability; depending on when videos are viewed, may not monitor adherence in real-time; non-receipt of video may not reflect dose non-ingestion.

\* An additional weakness across all the DATs is that they require HCPs to review dosing histories and act on them.
DAT- digital adherence technology, HCP- healthcare provider, SMS- short message service, TB- tuberculosis, VSTvideo supported treatment. Adapted from Vernon *et al.* 2019 [15]. This paper is an open access article distributed
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in any medium, provided the original work is properly cited.

100

101 In this chapter, we will discuss the use of DATs to support persons with TB to take their

102 treatment, including the evidence to date on how well they work. We will focus on low and

103 middle income country examples as this is where the burden of TB morbidity and mortality is

104 largely centred [2], but we will include examples from high income settings where pertinent.

105

### 106 Why is non-adherence to anti-TB treatment problematic?

107 Non-adherence to anti-TB treatment is broadly associated with a greater risk of 1) unfavourable
 108 treatment outcomes, 2) secondary drug resistance, 3) post-treatment relapse, and 4) an
 109 increased window for transmission of infection [26].

110

The association between non-adherence and unfavourable treatment outcomes has been
documented across multiple studies, which usually use a binary classification of non-adherence
(<80% of doses taken vs. ≥80% or <90% vs. ≥90%). In recent years, efforts have been made to</li>
move beyond these simple thresholds [27, 28], with calls for research examining non-adherence
'pattern' variability and its clinical importance [26].

116

117 The development of secondary drug resistance has been a concern from the earliest trials of 118 antibiotics to treat TB [29]. Worries about the link between non-adherence and secondary drug 119 resistance were a key reason that DOT was recommended as part of an overall Directly 120 Observed Therapy, short-course (DOTS) management strategy [10] when the WHO declared TB to be a global health emergency in 1993 [30]. Since this period, studies have been 121 122 undertaken suggesting a parabolic relationship between non-adherence and drug resistance, as 123 at low levels of dose-taking bacterial exposure to drugs is insufficient for selection to occur [31]. 124 Individual pharmacokinetics is likely to be a modifying factor; people with the least favourable 125 pharmacokinetic profiles may be more susceptible to the detrimental impact of non-adherence 126 [32]. As new drugs such as bedaquiline- the cornerstone of modern drug resistant TB treatment 127 regimens- have come into use, this has renewed interest in the link between non-adherence

- 128 and drug resistance.
- 129

### 130 How are DATs used?

### 131 The use of DATs as monitors

DATs can monitor adherence directly or indirectly, the latter through device- or person with TBfacilitated reporting (Table 1). DATs as monitors are used both in clinical practise to help

134 persons with TB and HCPs, and secondly in research (including in clinical trials).

135

136 In comparison to DOT, DATs can provide a remote and more individually empowering

137 mechanism by which both persons with TB and HCPs are able to keep track of adherence

138 across the course of treatment without the need for direct observation and daily health care

139 visits. This digital observation of pill-taking facilitates compilation of digital dosing histories. Such

- 140 remote observation and visualisation reduce the time and resource burden on both HCPs and
- 141 persons with TB versus in-person activities.
- 142

In observational epidemiology, DATs are an important tool to improve our knowledge of how
 exactly non-adherence is associated with the four consequences of non-adherence. Prior to

their arrival, detailed data on dose-by-dose adherence patterns (including the timing of doses

- 146 within a day) displayed by real-world persons with TB were not available, as DOT datasets were
- 147 often paper-based, inaccessible to researchers, or thought to be unreliable. For example, in
- 148 2020 Stagg et al. published an analysis of adherence patterns displayed during a trial of digital
- pillboxes in China and found that persons with TB who miss doses early on were at higher risk
- 150 of early discontinuation, highlighting the need for early intervention to support persons with TB

151 struggling with treatment [16, 33]. Results from large pragmatic studies looking in depth at

- 152 different adherence patterns are expected forthwith [34, 35].
- 153

Within drug trials, DATs provide an important tool for measuring adherence that is more
granular than pill counts and more accurate than self-report. The use of DATs in trials is
particularly important when it comes to defining per-protocol populations and for the associated
statistical approaches required in order to recover the statistical protection derived from

158 randomisation in per-protocol analyses [15, 36].

159

### 160 The use of DATs as interventions

In addition to simply being monitors, DATs may enable *intervention* in care to protect against the 161 162 four consequences of non-adherence (Figure 1). This can occur in two ways, which are not 163 mutually exclusive. DATs may provide reminders for medication-taking- including via SMS or 164 audiovisual cues (e.g. for digital pillboxes)- and be part of interventional packages that contain 165 other motivational content e.g. messaging and gamification. Secondly, digital dosing histories 166 can be used to triage persons with TB. This can occur either via computer algorithms (e.g. by 167 automatically notifying HCPs about people who have missed doses) or by HCPs viewing 168 adherence histories using a computer or smartphone application. HCPs can then provide individualised treatment support (differentiated care) to people deemed to have problematic 169 170 levels of non-adherence. For example, in randomised trials in China, data from digital pillboxes 171 were used to identify people who might need intensified care (potentially including transition to DOT), although HCP fidelity to the escalation of patients through the differentiated care model 172 173 was suboptimal [16, 37]. Determining the right 'trigger' point for differentiated care requires 174 additional research to determine the most problematic adherence patterns to enable effective

175 action.

176

177 Emerging evidence suggests that persons with TB value other DAT functions that foster a

- 178 feeling of being 'cared for' by the health system. These include the ability to communicate with
- HCPs, enhanced access to TB knowledge (e.g. via educational SMS or apps), and improved
- ability to report and seek advice about side effects [38]. Future DATs should incorporate these

- 181 additional functions, which may better enhance person-centred care.
- 182

## 183 What is the evidence that DATs work?

184 In 2017, the WHO updated treatment guidelines indicated that DATs could be offered to

185 persons with TB [39] and, five years later in 2022, the WHO consolidated treatment guidelines

186 [5] suggested that VST or DOT be used to support adherence; both were conditional

187 recommendations, with very low certainty of evidence. Since that time, there has been a large

- 188 increase in studies on DATs seeking to fill this evidence gap.
- 189

## 190 The use of DATs as monitors

191 Evidence about whether DATs are providing accurate data as monitors (Table 1) is largely

derived from comparing their performance to urine testing for rifampicin or isoniazid metabolites.
 For example, in India, a comparison between 99DOTS person with TB-reported doses and urine

testing found that the sensitivity of 99DOTS was 61% (95% confidence interval 57-65%) and the

195 specificity was 70% (58-81%) [40]. Sensitivity increased (89% (86-91%)) and specificity

- decreased (33% (22-45%)) when HCP-reported doses were also accounted for. Equivalent
- 197 studies have been done for the digital pillboxes [41], and other DATs, with varying results. Urine
- 198 testing is not a perfect gold standard, particularly as dose-by-dose information cannot be
- 199 obtained using this measure. Despite the concern that DAT data are a mixture of adherence
- 200 information and information on engagement with the technology, DATs remain our best current
- source of dose-by-dose non-adherence data, particularly for non-adherence patterns in normal care.
- 202

## 204 The use of DATs as interventions

In drug sensitive TB, studies of whether DATs work as an intervention to improve disease
outcomes have commonly used medication adherence (often based on a proxy of DAT
engagement), and end of treatment outcomes as their endpoints of interest, although some are
also assessing the impact of DATs on subsequent treatment recurrence [35, 37, 42]. Recent
systematic reviews summarising the evidence on whether digital approaches improve
adherence have reported variable intervention effects [38, 43, 44].

211

The strongest evidence of DATs improving end of treatment outcomes (and having positive cost implications [19, 45]) is for VST, and mainly limited to high income countries [38, 43, 46]. Replacing HCP observation of videos by artificial intelligence applications is being piloted [47] and could potentially reduce HCP's time and health systems costs.

216

Studies assessing SMS-style interventions show variable results. Most SMS-style interventions have not demonstrated improved end of treatment outcomes, although exceptions include a complex intervention in Kenya [48] and a 99DOTS intervention in Uganda (further details of which are presented in the next section) [49]. In the complex intervention in Kenya, unsuccessful treatment outcomes were reduced by nine percentage points, mainly through reducing on-treatment loss to follow-up. In Uganda a per-protocol analysis of a pragmatic stepped-wedge trial, defined as receiving the SMS-style intervention in the intervention phase,

showed improved cure/treatment completion of 16 percentage points, although this could have

- resulted from uncontrolled confounding as this was not observed in the intention to treat
- 226 analysis [49].

227

228 Studies assessing pillbox reminders- often combined with compiling digital dosing histories and

- differentiated care for those persons with TB for whom non-engagement with the DAT is
- documented- have shown variable effects for end of treatment outcomes, despite improving

232 from a relative or treatment monitor demonstrated improved treatment success of 13 233 percentage points, although only in the per-protocol population [50]. Similar results have been reported from South Africa, where DAT and differentiated care improved adherence but failed to 234 235 show impact on treatment outcomes [51, 52]. A combined intervention of pillbox reminders and 236 real-time digital observation linked with enhanced care coupled with a treatment supporter 237 conducted in Tibet demonstrated reduced levels of poor treatment outcomes (died, lost to 238 follow-up, treatment failure) by 22 percentage points [53]. The first trial assessing the effects of 239 a DAT on a combined endpoint of poor treatment outcome and recurrence, conducted in China, showed no impact on the composite unfavourable outcome, although medication adherence 240 241 was improved [37]. Across studies, apparent improvements in adherence without a consistent 242 corresponding improvement in treatment outcomes may result from a) adherence being 243 measured on DAT-engagement without external confirmation of drug intake, such as urine 244 isoniazid testing or b) limitations in measuring treatment outcomes in pragmatic trials due to lack 245 of specificity of the end of treatment outcome and/or small numbers of repeated sputum cultures 246 to measure recurrence.

247

## 248 How, and where, have DATs been used in routine practice?

249 DATs have been implemented in routine practice in a few low- and middle-income countries. In 250 India from 2017 to 2020, 99DOTS was scaled up to more than 200,000 people as an 251 intervention aimed at improving outcomes in the country's public sector program [54]. People 252 with TB who missed calling in multiple sequential doses were flagged through automated SMS 253 texts to HCPs or when HCPs accessed a smartphone or computer application. As such, 254 99DOTS prompted HCPs to intervene through phone calls or home visits. This initiative facilitated a shift from sometimes restrictive facility-based DOT models [13] and enabled 255 256 integration of digital adherence reporting into Nikshay, India's electronic TB medical record [54]. 257

258 At the same time, 99DOTS was not associated with improved treatment outcomes [55, 56], and 259 implementation challenges led to a decline in use in India. At the level of people with TB, 260 multiple barriers contributed to suboptimal cellphone accessibility, including shared use within 261 families, low cellphone literacy, and lack of cellular signal [55, 57]. These challenges and 262 perceptions of increased stigma (due to greater visibility of pill-taking) led to suboptimal 99DOTS engagement by people with TB, which contributed to its limited accuracy for detecting 263 264 non-adherence [40, 58]. At the health system level, the emergence of different TB drug 265 manufacturers made it difficult to procure 99DOTS sleeves that could match variations in 266 medication blister packs. Additionally, the cost of paper for sleeves rose up to 60% during the 267 Covid-19 pandemic [59]. Given these challenges, India's TB program is pivoting the 99DOTS 268 initiative in new directions. In South Africa, roll-out of medication sleeves with cellphone 269 reporting, similar to 99DOTS, faced many challenges including difficulty with SMS, the 270 requirement for a cellphone, and technical glitches with network providers [60]. This led to the 271 reduced use of this technology compared to digital pillboxes, and eventually a discontinuation of 272 implementation.

273

274 In Uganda, 99DOTS was adapted using human centred design methods in collaboration with 275 local stakeholders and end users [61]. Medication sleeves were altered by adding a flap-276 adorned with a calendar or map of Uganda- to conceal pills and reduce stigma. The inside cover 277 contained a personalised message and contact information from a local HCP, pictorial pill-taking 278 instructions, and a choice of stickers for tailored TB education. Pill-taking order was indicated 279 with colours, arrows, and cellphone icons. When persons with TB 'called in' doses, they heard 280 rotating audio messages centred on the themes of prevention and reassurance that TB is 281 curable.

283 Following the results of the stepped-wedge trial described in the previous section, the 99DOTS-284 based intervention was expanded to 12 health facilities in the Greater Kampala Metropolitan 285 Area, with provision of low-cost phones (US \$8) to people who lacked access in order to expand 286 99DOTS' reach and enhance equity. Responsibility for adherence monitoring was shifted from 287 HCPs to community HCPs, with provision of automated task lists to facilitate follow-up. An 288 interrupted time series analysis of this expansion is in process, with early findings suggesting 289 that cellphone provision facilitated enrolment of 87% of adults with pulmonary TB in 99DOTS 290 and that 99DOTS-based supervision achieved similar outcomes to routine care. These findings 291 suggest that 99DOTS can improve the treatment experience without compromising treatment 292 outcomes [62].

293

294 In Eastern European and Central Asian (EECA) countries- home to 24% of people with 295 multidrug resistant or rifampicin resistant TB and 47% of people with pre-extensively drug 296 resistant TB globally [2]- VST is the most commonly implemented DAT. VST is being 297 programmatically implemented as an alternative to DOT that still allows for visual observation of 298 dosing, in a region where preventing further development and transmission of drug resistant TB 299 is a priority. Several countries initially used available telecommunication platforms to manage 300 persons with TB. More recently, shifts have been made to dedicated commercial or locally 301 developed DAT platforms (in Belarus, Georgia, and the Republic of Moldova, for example) to 302 ensure data privacy and allow for asynchronous video uploads. VST platforms developed in 303 Georgia and Moldova have been adapted for use by Armenia, Azerbaijan, Tajikistan, 304 Turkmenistan and Uzbekistan [63]. Ukraine has implemented VST but is also rolling out digital 305 pillboxes, which have allowed HCPs to maintain contact with people taking treatment during the 306 COVID-19 pandemic and the ongoing war [64, 65]. Early data from EECA countries suggest that VST saves patient time and costs in comparison to travel to and from a facility for DOT: 307 308 however, challenges with internet connections- particularly in rural areas- have been noted and 309 people with TB have also expressed privacy- and stigma-related concerns as well as frustration 310 with having to upload videos daily [66, 67].

311

# 312 What generalisable learning can be taken from the experience of using DATs in 313 TB?

314 **DATs** cannot be used as the sole replacement for more comprehensive care packages

The lack of improvement in treatment outcomes in most randomised trials of DATs may speak to the limitations of these technologies as a sole replacement for more comprehensive TB care packages.

318

319 DATs may have limited effectiveness because non-adherence has multiple root causes, many 320 of which may not be directly addressed by DATs. Despite early work laying out multiple dimensions of adherence barriers (e.g. economic, structural, person with TB-related, regimen 321 322 complexity, person with TB-HCP relationships, mode of healthcare delivery) [9], studies of non-323 adherence in TB have largely focused on demographic and clinical factors [68]. Additionally, not 324 only do the root causes of non-adherence vary among people with TB, but a single person 325 taking TB treatment may encounter different adherence barriers at different points across the treatment course. A comprehensive understanding of context-specific adherence barriers is 326 327 critical to inform the development of effective interventions. This understanding must extend 328 beyond demographic factors to include the health system, structural, and psychosocial forces at 329 play within a given setting [68-71]. 330

331 DAT-based interventions may also fall short because non-adherence may not be the sole

- 332 mechanism through which unfavourable outcomes occur. Disease severity, individual
- 333 pharmacokinetics, and comorbidities (including HIV, diabetes, hepatitis C, mental illness, and

malnutrition) may negatively impact TB treatment outcomes through direct biological
mechanisms (e.g. immune suppression, hepatotoxicity) that are, in part, independent of
treatment adherence [72-75]. Effectively addressing these root causes by providing early
access to care and treatment, ideally integrated within TB services, will pay dividends by
improving TB treatment outcomes via multiple pathways while enhancing health outcomes more
broadly.

Viewed in the context of these multi-faceted challenges faced by people with TB, the impact of
many DAT-based interventions may be limited to individuals with specific adherence barriers.
For example, a DAT may be a useful tool for someone who forgets to take pills or struggles to
manage a complicated regimen as a mechanism to facilitate early outreach with HCPs, but may
be insufficient to counteract the food, water, and housing insecurities that challenge adherence
for many people with TB globally [76, 77].

347

# Future digital adherence technology interventions should be multifaceted and integrate strategies for addressing root causes of non-adherence

Although DATs cannot replace more comprehensive care packages, they may facilitate more
efficient delivery of comprehensive care to people with TB experiencing adherence barriers.
However, most DAT-based strategies to date have not integrated evidence-based interventions
that may address root causes of non-adherence, such as counselling to enhance treatment
literacy [78], therapy for alcohol use disorder [79], or treatment of depression.

355

356 Notably, the only two randomised trials showing that DAT-based interventions could improve TB 357 treatment outcomes in low- and middle-income country settings both used digital adherence 358 data as the starting point for intensifying care using person-centred strategies. In the previously 359 mentioned complex intervention in Kenya, TB survivors promptly engaged in phone outreach to 360 people with TB who did not provide a daily SMS response indicating dose ingestion [48]. The 361 intervention also included weekly motivational SMS messages, a gamification component, daily 362 SMS reminders, and a data platform for people with TB to confirm daily adherence. In the previously mentioned complex DAT-based intervention in Tibet, a digital pillbox was also only 363 364 one component of a much broader intervention [53]. The intervention also included a linked 365 smartphone app that allowed audio, video, and SMS-based communication between people 366 with TB and HCPs (with provision of a free smartphone data plan); training of a family member 367 to support the person with TB in their TB care and technology use; and transition to monitoring 368 by VST for people who did not report more than three consecutive doses using the digital 369 pillbox. Building upon the learnings from these successful interventions, future DAT-based 370 strategies should move beyond a focus digital observation alone towards integration of stronger 371 people-centred support.

372

# 373 What does this mean for subclinical TB?

As well as causing TB disease, *M. tb* can also infect people subclinically [80]. To prevent subclinical infections progressing to disease, treatment lasting one to six months is generally recommended [81]. Adherence to treatment for subclinical TB suffers from many of the same complexities as that for TB disease, with the addition that individual motivation to take treatment is often lower as people do not feel unwell. DATs may be useful in this context [82-84].

379

## 380 What does this mean for other respiratory conditions?

381 The complexity of adherence behaviour is not unique to TB. There has been substantial interest

in non-adherence to treatment for other respiratory conditions, including chronic obstructive

- 383 pulmonary disorder (COPD) and asthma.
- 384

For many chronic respiratory conditions, inhalers are an important component of disease management. Thus, there has been work to develop smart inhalers [85] that can transmit data about when the inhaler has been activated, similar to smart pillbox technologies. Smart inhalers

388 have generally been shown to improve adherence with similar efficacy to SMS message

389 reminders [86], however most of these studies have been conducted in high-resource settings.

- 390 It is also important to bear in mind that asthma and COPD treatments are largely better
- tolerated than TB medication, where side effects can further compound individuals' nonadherence.
- 392 393

There is shared interest across TB and other respiratory conditions about digitally-collected biomarkers that can track treatment response and potentially provide early warning systems about suboptimal adherence. In TB, cough monitoring provides a potential non-invasive treatment response biomarker [87]. In people with cystic fibrosis, at-home spirometry has been used to detect early signs of exacerbations [88]. Similarly, in people with COPD, a digital symptom screen and home pulse oximetry reading was also able to predict oncoming exacerbations [89].

401

402 Many respiratory conditions share the same complexities as TB in our approach to non-

403 adherence. For example, both biological and social factors affect individual adherence to

404 treatment [86]. In addition to socioeconomic factors [90], individual beliefs about potential harm

of medication and over-prescription are associated with lower adherence in people with asthma

and COPD [91]. Non-adherence patterns can likewise be complex, resulting in similar

407 methodological advancements in how we analyse adherence data, including trajectory-based
 408 analyses and clustering approaches to more fully capture the complexities of adherence

408 analyses and clustering approaches to more fully capture the complexities of adherence 409 behaviour. These approaches have been applied to TB cohorts [92], as well as people with

409 Denaviour. These approaches have been applied to TB conorts [92], as well as people with 410 COPD [93] and asthma [94]. They can help explore the diversity of adherence behaviours in

- 411 cohorts and may help establish phenotypes of individuals that could require different adherence
- 412 support.
- 413

Finally, we note that DATs- including the use of artificial intelligence on mobile devices- are also being applied in non-respiratory conditions, such as schizophrenia [95].

416

# 417 **Conclusion**

418 Several decades on from the WHO DOTS strategy, discussion about how best to support 419 persons with TB taking their treatment is now inclusive of digital tools. Just as DOT was always 420 intended to be part of an overall care package supporting persons with TB, DATs should be 421 similarly visualised. Evidence of DATs improving outcomes is limited, however studies from 422 multiple settings suggest they are acceptable to persons with TB [96]. It is highly unlikely that 423 there is a one-size-fits-all model that can be globally recommended to promote adherence to 424 anti-TB treatment. Different interventions will suit different settings, with varying degrees of 425 effectiveness, cost-effectiveness, and acceptability linked to the healthcare system in which an 426 intervention is implemented and the existing interventions in place. As DOT is frequently already 427 being used in settings when DATs are trialled, it is often taken as the comparator of interest. As 428 a costly and high-effort intervention (when implemented fully) that reduces patient autonomy, 429 the use of DOT in this way has inevitably influenced data on DAT acceptability and the relative 430 burden of its cost. In line with their two-fold nature as both monitors and interventions, DAT data

431 are being used to further our understanding of how precisely non-adherence occurs, and what

432 patterns of non-adherence are particularly problematic.

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- 746 747

#### 748 **Figure legends**

749

### 750 Figure 1. Functions of digital adherence technologies for potentially enhancing

751 medication adherence for people with tuberculosis while also enabling monitoring, 752 triage, and delivery of differentiated care by health systems.

'Differentiated care' refers to providing different intensities and types of care based on an individual's level of

medication adherence as measured by the DAT. Reproduced from Subbaraman et al. BMJ Global Health 2018 [14].

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